SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * LaBerge Gregory A.			2. Issuer Name and Ticker or Trading Symbol Marcus & Millichap, Inc. [MMI]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) C/O MARCUS &	(First) & MILLICHA	(Middle) P, INC.	3. Date of Earliest Transaction (Month/Day/Year) 03/10/2023	X	Officer (give title below) Chief Administr	10% Owner Other (specify below) ative Officer (Check Applicable Line) orting Person				
23975 PARK SC (Street) CALABASAS (City)	CA (State)	91302 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	<ol> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ol>					
		Table I - Non		eficially Ow	ned					

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)	
Common Stock	03/10/2023		М		505	A	(1)	7,920	D		
Common Stock	03/10/2023		F		176	D	\$32.15(2)	7,744	D		
Common Stock	03/10/2023		М		391	A	(1)	8,135	D		
Common Stock	03/10/2023		F		136	D	\$32.15(2)	7,999	D		
Common Stock	03/10/2023		М		610	A	(1)	8,609	D		
Common Stock	03/10/2023		F		211	D	\$32.15(2)	8,398	D		
Common Stock	03/10/2023		М		1,012	A	(1)	9,410	D		
Common Stock	03/10/2023		F		351	D	\$32.15(2)	9,059	D		
Common Stock	03/10/2023		М		5,352	A	(1)	14,411	D		
Common Stock	03/10/2023		F		1,852	D	\$32.15(2)	12,559	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	03/10/2023		м			505	(3)	02/26/2028	Common Stock	505	\$0.00	0	D	
Restricted Stock Units	(1)	03/10/2023		м			391	(4)	02/13/2029	Common Stock	391	\$0.00	392	D	
Restricted Stock Units	(1)	03/10/2023		м			610	(5)	02/11/2030	Common Stock	610	\$0.00	1,221	D	
Restricted Stock Units	(1)	03/10/2023		м			1,012	(6)	02/11/2031	Common Stock	1,012	\$0.00	3,044	D	
Restricted Stock Units	(1)	03/10/2023		М			5,352	(7)	02/10/2032	Common Stock	5,352	\$0.00	21,414	D	

## Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.

2. Shares withheld by the Issuer in payment of the withholding tax liability incurred upon the above-reported settlements of RSUs. The amount of shares withheld is based on the closing sale price on March 10, 2023.

3. The restricted stock units vested in five equal annual installments beginning March 10, 2019.

4. The restricted stock units vest in five equal annual installments beginning March 10, 2020.

5. The restricted stock units vest in five equal annual installments beginning March 10, 2021.

6. The restricted stock units vest in five equal annual installments beginning March 10, 2022.

7. The restricted stock units vest in five equal annual installments beginning March 10, 2023.

Remarks:

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0<u>3/14/2023</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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